Open Research at the U of U

Report from the Presidential Task Force on Research Material Open Access Issues

Aims of the Presidential Task Force

↗ Recommend strategies for meeting wide-ranging changes taking place in how research is communicated, shared, and evaluated

↗ Provide vision and guidance in this area

↗ Address how to promote open access to scholarship created by University researchers

↗ Consider the possibility of a campus-wide policy and develop recommendations

↗ Discuss with research community at large

Task force members

- Martin Berzins, College of Engineering

- Miguel Chuaqui, College of Fine Arts

- Steve Corbato, University IT

- **Cynthia Furse (co-chair), Office of VP for Research, College of Engineering**

- Kristen Hawkes, College of Social & Behavior Sciences

- John Mauger, Office of VP for Health Sciences

- Jim Metherall/Pat Hanna, Academic Senate

- Allyson Mower, Marriott Library

- Joyce Ogburn, Marriott Library

- Susan Olson/Amy Wildermuth, VP for Faculty

- **Gary Schoenwolf (co-chair), School of Medicine**

- Jean Shipman, Eccles Library

- Jackie Smith, College of Nursing

- Mariam Thalos, College of Humanities

- Joanne Yaffe, College of Social Work

Proposed policy wording

The faculty of the University of Utah (UU) is committed to sharing the intellectual fruits of its research and scholarship as widely as possible and lowering barriers to its access.

In recognition of that commitment and responsibility, the UU faculty is determined to take advantage of new technologies to increase access to its work by the citizens of Utah and scholars, students, educators, and policymakers worldwide.

The University of Utah provides [an archive](#) and associated services for the deposit of publicly accessible material.

Providing a file + permissions

In support of greater openness in scholarly endeavors, the UU faculty agrees to the following:

↗ Each faculty member will provide a copy of their <u>final, peer-reviewed manuscript</u> to an open research repository (such as USpace) and grant the UU permission to distribute this work in a publicly accessible manner .

↗ Each faculty member grants to the UU a nonexclusive, irrevocable, worldwide license to exercise any and all rights under copyright relating to each of his or her scholarly articles in any medium provided that the articles are not sold for a profit and to authorize others to do the same.

Allowing for exceptions

↗ Exceptions and waivers to this policy will be addressed by a designee of the Office of the Vice President for Research.

↗ Example: You publish in a journal that doesn't allow or specify archiving rights (such as the Journal of the American Academy of Audiology), you could receive a waiver from supplying a post-print + copyright permission

What about the publishers?
94% of journals allow post-print archiving*

For-profit publishers (sample)

↗ Elsevier, Springer, Wiley, Taylor & Francis

↗ Nature Publishing Group (6-month embargo), SAGE (12-month embargo)

Society publishers (sample)

↗ IEEE, AIP (can archive the publisher's version)

↗ ACS (12-month embargo + permission)

↗ AMA (12-month embargo)

List of publishers' policies available from SHERPA/RoMEO http://www.sherpa.ac.uk/romeo/

*Restrictions apply: can't use publisher's version, some have an embargo or require permission which the University Libraries already manage in support of USpace

What are others doing?

↗ Approximately 135 institutions worldwide have adopted open access policies or resolutions

- ↗ Univ of California, Harvard, Kansas, MIT, Duke

↗ Discipline repositories such as PubMed Central, arXiv,

↗ Over 30 repositories on campus, including [SCI Publications](), iTunesU, USpace, etc.

What does this mean for you?

↗ Exercise control over intellectual property by keeping track of post-prints

↗ Make articles widely available on the web via FAR + USpace

↗ Boost readership + citations through seamless online access
  ↗ *Self-selected or mandated: open access increases citation impact for higher-quality research* PLoS ONE 5(10): e13636 doi:10.1371/journal.pone/0013636

↗ Showcase the research produced by dept/college

↗ Develop community connections through public access

Moving forward

↗ Task Force will work with Library Policy Advisory Committee & Institutional Policy Committee

↗ Present to the Senate in the spring

↗ Address questions and concerns with colleges and departments as needed